



# *Form 175 and Overview of Auction Rules*

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## 700 MHz Band (Auctions 73 and 76)

Lisa Stover, Auctions Marketing Specialist, ASAD  
Howard Davenport, Attorney, ASAD

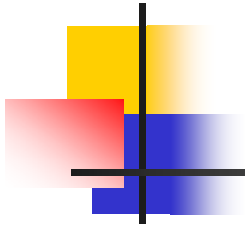


# Disclaimer

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Nothing herein is intended to supersede any provision of the Commission's rules or public notices. These slides should not be used as a substitute for a prospective applicant's review of the Commission's relevant rules, orders, and public notices. Prospective applicants must familiarize themselves thoroughly and remain current with the Commission's rules, orders, and public notices relating to the 700 MHz Band service, rules relating to application and auction procedures, and the procedures, terms and conditions contained in the Auctions 73 and 76 public notices.





# Questions?

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If you are viewing this presentation live over the web, please feel free to email your questions to...

**[auction73@fcc.gov](mailto:auction73@fcc.gov)**





## Integrated Spectrum Auction System

### Applicant/Bidder Access

FRN

Password

LOG IN

### Public Access

▶ [Auction Applications](#)

▶ Auction Information  
(select an auction)

▶ [ULS License Search](#)

▶ [FCC Auctions Home](#)

### Log In Information

The Applicant/Bidder Access section is password protected. Please type your FCC Registration Number (FRN) and its associated password to access the Auction Application or Bidding system. An FRN/password is not required for the Public Access section of the system.

▶ **Forgot your Password?**

[FRN hotline information](#)

▶ **Need an FRN?**

[Register with the FCC](#)

▶ **Not sure if you have an FRN?**

[Search the FCC Registration System database to determine if you have been assigned an FRN.](#)



## Integrated Spectrum Auction System

### Applicant/Bidder Access

FRN

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### Public Access

▶ [Auction Applications](#)

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(select an auction)

  
▼

▶ [ULS License Search](#)

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# My Auctions Page

Logged In: FRN 0010845105 ([Log Out](#))

## Pre-Auction

- ▶ **File new or modify an existing auction application**  
[Enter Auction Application Manager](#)
- ▶ **How do I request a refund of my upfront payment?**  
[See Wire Transfer for Refund Purposes](#)



## Online Bidding

There are no LIVE auctions available.

There are no MOCK auctions available.

## Post-Auction

- ▶ **How do I make my downpayment or final payment?**  
[Making a downpayment or final payment](#)  
[See FCC Form 159](#)
- ▶ **Where do I file my license application?**  
[See Universal Licensing System](#)
- ▶ **How do I request a refund of my upfront payment?**  
[See Wire Transfer for Refund Purposes](#)

## Public Access

- ▶ [Auction Applications](#)
- ▶ Auction Information (select an auction)
- ▶ [ULS License Search](#)
- ▶ [FCC Auctions Home](#)



Logged In: FRN 0010845105 ([Log Out](#))

[Help](#)

### Auction Application Manager

Create or Review Auction Applications

Approved by OMB  
3060-0600 ([Notice to Applicants](#))

#### Create New Application

- ▶ [Auction 101](#) Sample SMR Auction -- Initial Filing Window closes 4/20/2007, 6:00 PM
- ▶ [Auction 73](#) 700 MHz Band -- Initial Filing Window closes 12/3/2007, 6:00 PM
- ▶ [Auction 76](#) 700 MHz Band -- Initial Filing Window closes 12/3/2007, 6:00 PM

#### Review or Modify Existing Applications

Auction	Applicant Name	Application Status	Last Modified	Last Submitted	
<a href="#">65</a>	<b>Bidder One Corporation</b>	Submitted	3/8/2006, 3:40 PM	3/7/2006, 4:00 PM	<a href="#">\$</a> <a href="#">↺</a> <a href="#">✎</a>
Initial Filing Window Closed 4/3/2006, 6:00 PM					
<b>185</b>	<b>Bidder One Corporation</b>	Not Submitted	4/3/2006, 11:46 AM		
Initial Filing Window Closed 4/10/2006, 4:31 PM					
<a href="#">\$</a> - Upfront Payment Calculator and Form 159 <a href="#">↺</a> - Refund Form <a href="#">✎</a> - Edit Application <a href="#">⏏</a> - Withdraw Application <a href="#">🔍</a> - Search					

#### Documentation

Auction:  [GO](#)



Logged In: FRN 0010845105 ([Log Out](#))

Applicant:

Initial Filing Closes: 04/20/2007 6:00 PM (ET)

Status: **Not Submitted**

## Auction 101

Sample SMR Auction

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[Quit](#)

## Applicant Information

Applicant information identifies the applicant, its responsible parties (where applicable), its auction contact party, and its bidding eligibility. The contact person will receive all auction correspondence. The applicant name will be used as the bidder name during the auction.

### Applicant Legal Classification

To determine the applicant information required by the FCC please provide your Legal Classification.

- ☐ Consortium
- ☐ Corporation
- ☐ General Partnership
- ☐ Government Entity
- ☐ Individual
- ☐ Limited Liability Company
- ☐ Limited Liability Partnership
- ☐ Limited Partnership
- ☐ Trust
- ☐ Unincorporated Association
- ☐ Other:

### Applicant Status (not required)

What is your status? Check all that apply.

- ☐ Minority Owned Business
- ☐ Rural Telephone Company
- ☐ Woman Owned Business

### Steps

#### ▶ Applicant Information

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- Agreements
- Ownership
- Summary
- Certify & Submit

### Common Questions

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[What if my Legal Classification is not listed?](#)

[Why is the FCC requesting an Applicant Status?](#)

[What does the Quit link do?](#)

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Initial Filing Closes: 04/20/2007 6:00 PM (ET)

Status: **Not Submitted**

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Applicant information identifies the applicant, its responsible parties (where applicable), its auction contact party, and its bidding eligibility. The contact person will receive all auction correspondence. The applicant name will be used as the bidder name during the auction.

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- ☒ Corporation
- ☐ General Partnership
- ☐ Government Entity
- ☐ Individual
- ☐ Limited Liability Company
- ☐ Limited Liability Partnership
- ☐ Limited Partnership
- ☐ Trust
- ☐ Unincorporated Association
- ☐ Other:

A legal Classification  
must be selected  
in order to proceed

#### Applicant Status (not required)

What is your status? Check all that apply.

- ☐ Minority Owned Business
- ☐ Rural Telephone Company
- ☐ Woman Owned Business

#### Steps

##### ▶ Applicant Information

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- Agreements
- Ownership
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Sample SMR Auction

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## Applicant Information

### Applicant Name

Please provide the following information. The name entered will be used as the Bidder Name during the auction.

Entity Name:

Bidder One Corporation

Jurisdiction of Formation:

District of Columbia



### Applicant Address (PO Boxes are not permitted)

[Click here if address is located outside the US.](#)

Address Line 1:

123 Auction Street

Address Line 2:

City:

Washington

State:

District of Columbia



Zip Code:

20554

### Steps

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Status: **Not Submitted**

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Sample SMR Auction

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## Applicant Information

### Responsible Party - Corporation

Identify an individual as the responsible party for the Corporation

Name: First  MI  Last  Suffix

Title: Officer or Director

Telephone Number: Area   -  Ext.

Email Address:

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[Do I have to fill in the Telephone Number and Email Address for our responsible party?](#)

[Who is considered to be a Responsible Party?](#)



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## Applicant Information

### Contact Information

Identify an official contact to receive all auction correspondence.

Name: First  MI  Last  Suffix

Telephone Number: Area   -  Ext.

Fax Number: Area   -

Email Address:

### Contact Address (PO Boxes are not permitted)

[Click here if address is located outside the US.](#)

**COPY APPLICANT ADDRESS**

Address Line 1:

Address Line 2:

City:

State:

Zip Code:

### Steps

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### Common Questions

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[What is the contact information used for?](#)

[Our official contact would rather communicate via phone or fax. Do I need to enter their email address?](#)

[Why are P.O. boxes not permitted?](#)

Copy Address If same as Applicant

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Applicant: **Bidder One Corporation**

Initial Filing Closes: 04/20/2007 6:00 PM (ET)

Status: **Not Submitted**

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## Applicant Information

### Contact Information

Identify an official contact to receive all auction correspondence.

Name: First  MI  Last  Suffix

Telephone Number: Area   -  Ext.

Fax Number: Area   -

Email Address:

### Contact Address (PO Boxes are not permitted)

[Click here if address is located outside the US.](#)

**COPY APPLICANT ADDRESS**

Address Line 1:

Address Line 2:

City:

State:

Zip Code:

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[Why are P.O. boxes not permitted?](#)

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Applicant: **Bidder One Corporation**

Initial Filing Closes: 04/20/2007 6:00 PM (ET)

Status: **Not Submitted**

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## Applicant Information

### Authorized Bidders

Identify at least one but no more than three authorized bidders. Only individuals listed here will be authorized to bid on behalf of the applicant. The authorized bidders may be changed during the auction.

	First	MI	Last	Suffix
1.	<input type="text" value="Imma"/>	<input type="text"/>	<input type="text" value="Bidder"/>	<input type="text"/>
2.	<input type="text" value="Shesa"/>	<input type="text"/>	<input type="text" value="Bidder"/>	<input type="text"/>
3.	<input type="text" value="Hesa"/>	<input type="text"/>	<input type="text" value="Bidder"/>	<input type="text"/>

### Telephonic or Electronic Bidding Options

Qualified bidders are permitted to bid electronically or telephonically. Select the option you anticipate using as your primary means of placing bids in the auction.

☒ Electronic    ☐ Telephonic

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## Applicant Information

### Bidding Credit Eligibility

This is the sole opportunity to apply for a bidding credit. There will be no opportunity to apply or modify this information after the Initial Filing Window closes.

☐ Applicant does not seek a bidding credit

Applicant hereby applies for a bidding credit and states that aggregate average gross revenues attributable to the applicant:

☐ Do not exceed \$15,000,000

☒ Exceed \$15,000,000 and do not exceed \$40,000,000

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### License Selection

#### Select Licenses

[View Saved Items](#) -

0 Saved Licenses out of 12 Available Licenses

Market:  Frequency Block:  Package:  [CLEAR FILTER](#)

[SAVE ALL ITEMS](#) [REMOVE ALL ITEMS](#) [SAVE SELECTED ITEMS](#)

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	<input type="checkbox"/> Select	License Name	License Description	Bidding Units
1	<input type="checkbox"/>	SV-BEA001-C	Area 1	500
2	<input type="checkbox"/>	SV-BEA001-D	Area 1	1,000
3	<input type="checkbox"/>	SV-BEA002-C	Area 2	500
4	<input type="checkbox"/>	SV-BEA002-D	Area 2	1,000
5	<input type="checkbox"/>	SV-CMA001-E	Statewide 1	100
6	<input type="checkbox"/>	SV-CMA001-F	Statewide 1	50
7	<input type="checkbox"/>	SV-CMA002-E	Statewide 2	300
8	<input type="checkbox"/>	SV-CMA002-F	Statewide 2	600
9	<input type="checkbox"/>	SV-REAG001-A	Region 1	1,000
10	<input type="checkbox"/>	SV-REAG001-B	Region 1	2,000
11	<input type="checkbox"/>	SV-REAG002-A	Region 2	1,000
12	<input type="checkbox"/>	SV-REAG002-B	Region 2	2,000

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## License Selection

### Select Licenses

[View Saved Items](#) -

12 Saved Licenses out of 12 Available Licenses

Market All Market	Frequency Block All Frequency Block	Package All Package	<a href="#">CLEAR FILTER</a>
<a href="#">SAVE ALL ITEMS</a>	<a href="#">REMOVE ALL ITEMS</a>	<a href="#">SAVE SELECTED ITEMS</a>	

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Items Per Page: [10](#) | [20](#) | [50](#) | [100](#)

	<input type="checkbox"/> Select	License Name	License Description	Bidding Units
1	<input checked="" type="checkbox"/> saved	SV-BEA001-C	Area 1	500
2	<input checked="" type="checkbox"/> saved	SV-BEA001-D	Area 1	1,000
3	<input checked="" type="checkbox"/> saved	SV-BEA002-C	Area 2	500
4	<input checked="" type="checkbox"/> saved	SV-BEA002-D	Area 2	1,000
5	<input checked="" type="checkbox"/> saved	SV-CMA001-E	Statewide 1	100
6	<input checked="" type="checkbox"/> saved	SV-CMA001-F	Statewide 1	50
7	<input checked="" type="checkbox"/> saved	SV-CMA002-E	Statewide 2	300
8	<input checked="" type="checkbox"/> saved	SV-CMA002-F	Statewide 2	600
9	<input checked="" type="checkbox"/> saved	SV-REAG001-A	Region 1	1,000
10	<input checked="" type="checkbox"/> saved	SV-REAG001-B	Region 1	2,000
11	<input checked="" type="checkbox"/> saved	SV-REAG002-A	Region 2	1,000
12	<input checked="" type="checkbox"/> saved	SV-REAG002-B	Region 2	2,000

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Applicant: **Bidder One Corporation**

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Status: **Not Submitted**

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## License Selection

### View/Edit Saved Licenses

Change Item Selection -

12 Saved Licenses out of 12 Available Licenses

Market	Frequency Block	Package	
All Market	All Frequency Block	All Package	<a href="#">CLEAR FILTER</a>

Items Per Page: [10](#) | [20](#) | [50](#) | [100](#)

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	License Name	License Description	Bidding Units
1	SV-BEA001-C	Area 1	500
2	SV-BEA001-D	Area 1	1,000
3	SV-BEA002-C	Area 2	500
4	SV-BEA002-D	Area 2	1,000
5	SV-CMA001-E	Statewide 1	100
6	SV-CMA001-F	Statewide 1	50
7	SV-CMA002-E	Statewide 2	300
8	SV-CMA002-F	Statewide 2	600
9	SV-REAG001-A	Region 1	1,000
10	SV-REAG001-B	Region 1	2,000
11	SV-REAG002-A	Region 2	1,000
12	SV-REAG002-B	Region 2	2,000

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## Auction 101

Sample SMR Auction

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## Agreements

### Agreements with Other Parties and Joint Bidding Arrangements

Has the applicant entered into partnerships, joint ventures, consortia or other agreements, arrangements or understandings of any kind relating to the licenses being auctioned, including any such agreements relating to the post-auction market structure?

☐ Yes ☐ No

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[What is the specific rule governing agreements, arrangements, and the like?](#)



# Anti-Collusion Rule

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- **Anti-Collusion Rule:** Unless properly disclosed, communications concerning bids, bidding strategies, or settlement agreements (including post-auction market structures) are prohibited among applicants that bid for licenses in any of the same or overlapping geographic areas (Section 1.2105(c)).
- Information on applicants' license selections and upfront payment amounts and bidding eligibility will not be made public until after the close of bidding in both Auctions 73 and 76 (in the event it is necessary to conduct a subsequent auction). The Commission will inform applicants by letter of the other applicants in Auction 73 and Auction 76 that have applied for licenses in any of the same geographic areas.
- To be in compliance, applicants must certify under penalty of perjury that they have not entered and will not enter into any explicit or implicit agreements, arrangements or understandings of any kind with any parties other than those they have identified regarding the amount of their bids, bidding strategies or particular licenses on which they will or will not bid.



## Anti-Collusion Rule (continued)

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- Prohibition period is between the short-form deadline – December 3, 2007 at 6:00 p.m. - and the down payment deadline after the subsequent contingent auction (Auction 76) - which will be specified in a future public notice. (Section 1.2105(c)(1)). If it is not necessary to conduct Auction 76, the prohibition would lift at the down payment deadline for Auction 73.
- The rule prohibits an auction applicant from discussing a competing applicant's bids or bidding strategies even if the first applicant does not discuss its own bids or bidding strategies.
- The rule requires auction applicants that make or receive a prohibited communication of bids or bidding strategies to report the communication immediately to the Commission in writing. (Section 1.2105(c)(6)).
- Any applicant that submits a short-form application to participate in Auction 73 remains subject to the Commission's anti-collusion rule until the down payment deadline, regardless of whether an upfront payment is made, the applicant becomes a qualified bidder, or it places a bid.



# Agreements with Other Parties and Joint Bidding Arrangements

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- Applicants must identify all parties with whom they have entered into agreements, arrangements, or understandings of any kind relating to the licenses being auctioned, including post-auction market structure. (Section 1.2105(a)(2)(viii)). Any applicant that submits an auction application to participate in Auction 73 or 76 - regardless of whether an upfront payment is submitted or a bid is made - remains subject to the Commission's anti-collusion rule until the post-auction down payment deadline for both auctions.
- For the anti-collusion rule, “applicant” includes controlling interests, ownership interests, and officers and directors. (Section 1.2105(c)(7)(i)).



Logged In: FRN 0010845105 ([Log Out](#))

Applicant: **Bidder One Corporation**

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Status: **Not Submitted**

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## Agreements

### Agreements with Other Parties and Joint Bidding Arrangements

Has the applicant entered into partnerships, joint ventures, consortia or other agreements, arrangements or understandings of any kind relating to the licenses being auctioned, including any such agreements relating to the post-auction market structure?

☒ Yes ☐ No

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[What is the specific rule governing agreements, arrangements, and the like?](#)



Logged In: FRN 0010845105 ([Log Out](#))

Applicant: **Bidder One Corporation**

Initial Filing Closes: 04/20/2007 6:00 PM (ET)

Status: **Not Submitted**

## Auction 101

Sample SMR Auction

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## Agreements

### Create New Agreement

Identify all parties with whom Applicant has entered into partnerships, joint ventures, consortia or other agreements, arrangements or understandings of any kind relating to the licenses being auctioned, including any such agreements relating to the post-auction market structure.

Provide an identifying name for your agreement.

Agreement Identifier:

### Add Parties

Add up to three parties below. You may add more parties later.


Party to Agreement

☒ Entity Name:   
FRN:  ([Search FRN](#))

☐ Individual Name:      
FRN:  ([Search FRN](#))

RESET

Party to Agreement

☒ Entity Name:    
FRN:  ([Search FRN](#))

☐ Individual Name:      
FRN:  ([Search FRN](#))

RESET

Party to Agreement

☐ Entity Name:   
FRN:  ([Search FRN](#))

☐ Individual Name:      
FRN:  ([Search FRN](#))

RESET

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ADD MORE PARTIES

FINISH THIS AGREEMENT

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[What is the difference between a warning and an error?](#)

[Do I have to provide an FCC Registration Number \(FRN\) for my agreement party?](#)

[What does the Search FRN link do?](#)



## Add Parties

Add up to three parties below. You may add more parties later.

### Party to Agreement

☒ Entity Name:   
FRN:  [\(Search FRN\)](#)

☐ Individual Name:     
FRN:  [\(Search FRN\)](#)

### Party to Agreement

☒ Entity Name:   
FRN:  [\(Search FRN\)](#)

☐ Individual Name:      
FRN:  [\(Search FRN\)](#)

### Party to Agreement

☐ Entity Name:   
FRN:  [\(Search FRN\)](#)

☐ Individual Name:     
FRN:  [\(Search FRN\)](#)

Auction Application - FRN Search - Microsoft Internet Explorer

### FRN - Search Public Information

**Find FRN**

The wildcard character (\*) may be used only for Business Name and Last Name searches only.

=

### Common Questions

[When is my data saved during the data entry process?](#)

[What is the difference between a warning and an error?](#)

[Do I have to provide an FCC Registration Number \(FRN\) for each agreement party?](#)

[What does the Search FRN button do?](#)

System allows for more than 3 parties to be entered



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## Agreements

[View/Edit Agreements](#)

**Agreements with Other Parties and Joint Bidding Arrangements**

Edit -

[Agreements?](#) Yes

You currently have 1 agreement

**Agreement:** [Agreement 1](#)

[Delete This Agreement](#)

2 parties in this agreement

Party: [Party 1 Company](#)

Remove -

Party: [Party 2 Company](#)



[Add Party To This Agreement](#)

[CREATE NEW AGREEMENT](#)

[CONTINUE](#)

### Steps

[Applicant Information](#)

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**[Agreements](#)**

[Ownership](#)

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### Common Questions

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[What is the difference between a warning and an error?](#)



# Ownership Disclosure

---

- Rules listing the required information for short-form ownership disclosure are contained in Section 1.2105 and 1.2112 of the Commission's rules, as well as Section 1.2110 where applicable.
- Attachment D of the Auction 73/76 Procedures Public Notice (DA 07-4171) provides detailed guidelines on meeting your ownership disclosure requirements.



# Applicant Identity and Ownership Information

---

- Under Section 1.2105(a)(2)(ii), each applicant is required to disclose all real parties in interest.
- Real party in interest is defined in Section 1.2112.
- Disclose all parties having a 10 percent or greater interest in the applicant. Section 1.2112(a).
- Disclose all FCC-regulated entities of which the applicant or its owners own 10 percent or more. Include a description of each entity's principal business and its relationship to the applicant. Section 1.2112(a)(7).



## Form 175 Ownership Disclosures: DIHs, FRBs and Affiliates

---

- In the FCC Form 175 application, ownership information is divided into three groups:
  - Disclosable Interest Holders of the Applicant (DIHs)
  - FCC-Regulated Businesses of the Applicant (FRBs)
  - Affiliates of the Applicant



# What is a DIH?

---

- A DIH is a “disclosable interest holder.” A DIH is an party that is required to be disclosed pursuant to Section 1.2112 of the FCC’s rules.
  - DIHs include:
    - (1) the real party or parties in interest in the applicant or application;
    - (2) parties holding 10% or more of stock;
    - (3) limited partners whose interest is 10% or greater;
    - (4) general partners;
    - (5) members of a limited liability company whose interest is 10% or greater; and
    - (6) parties holding indirect ownership interests in the applicant equaling 10% or greater.
- 47 C.F.R. section 1.2112(a).



# What is an FRB?

---

- An FRB is an FCC-regulated business entity.
- You must provide information on all FCC-regulated entities of which the applicant or its owners own 10 percent or more. You must also include information which describes each FRB's principal business and its relationship to the applicant. Section 1.2112(a)(7).

# Bidding Credits:

## Discounts for Small Businesses

---

- Bidding credits are available to qualifying small business entities.
- The next few slides will cover the disclosures and information that must be submitted by applicants seeking to claim eligibility for bidding credits in Auctions 73 and 76.





# Bidding Credits: Who is Eligible?

---

- Bidding credits are available to “small” and “very small businesses.”
- Small Business - Attributed average gross revenues that exceed \$15 million and do not exceed \$40 million for the preceding three years, receives a 15 percent bidding credit.
- Very Small Business - Attributed average gross revenues that do not exceed \$15 million for the preceding three years, receives a 25 percent discount on its winning bid(s).



# Bidding Credits: How to Establish Eligibility

---

- To determine eligibility, applicants must figure out their attributable gross revenues.
- For this purpose, gross revenues of related parties are attributed to the applicant. These parties include:
  - the applicant,
  - its affiliates,
  - its controlling interests,
  - affiliates of its controlling interests, and
  - entities with which it has an attributable material relationship.
- An applicant with an “impermissible material relationship” is ineligible for the award of designated entity benefits.
- Average gross revenues for the last 3 years are considered.



# Bidding Credit Eligibility: Disclosure Requirements

---

- Affiliate, attribution, controlling interests, attributable material relationship, and impermissible material relationship are terms described in Section 1.2110.
- Applicants seeking to claim bidding credits must provide information on ownership and agreements described in Section 1.2112(a) and (b) of the FCC's rules.



# Bidding Credit Eligibility: What is an Affiliate?

---

- An affiliate, for purposes of Form 175, is any individual or entity that:
  - 1) directly or indirectly controls or has the power to control the applicant; or
  - 2) is directly or indirectly controlled by the applicant; or
  - 3) is directly or indirectly controlled by a third party that also controls or has the power to control the applicant; or
  - 4) has an “identity of interest” with the applicant.
- Section 1.2110(c)(5)(i) and (ii) of the FCC’s rules provide further details concerning affiliates.



# Bidding Credit Eligibility: Attributable Material Relationships

---

- An “attributable material relationship” occurs when an applicant has one or more agreements with any individual entity, including entities and individuals attributable to that entity, for the lease (under either spectrum manager or de facto transfer leasing arrangements) or resale (including under a wholesale arrangement) of, on a cumulative basis, more than 25% of the spectrum capacity of any individual license that is held by the applicant or licensee.
- Applicants seeking eligibility for designated entity benefits must disclose all parties with which the applicant has an attributable material relationship, and provide, separately and in the aggregate, the gross revenues of entities with which the applicant has an attributable material relationship. 47 C.F.R. section 1.2110(b)(3)(iv)(B).



# Bidding Credit Eligibility: Impermissible Material Relationships

---

- Impermissible material relationships occur when an applicant has agreements with one or more other entities for the lease (under either spectrum manager or de facto transfer leasing arrangements) or resale (including under a wholesale arrangement) of, on a cumulative basis, more than 50% of its spectrum capacity of any individual license.
- Such applicants are ineligible for the award of designated entity benefits.
- But: the Commission has waived application of the IMR rule only with respect to arrangements for lease or resale (including wholesale) of the spectrum capacity of the D Block license. (FCC 07-197, released 11/15/2007.)



# Bidding Credits: Consortium of Very Small / Small Businesses

---

- Small business entities may form a consortium to participate in bidding. The gross revenues of the consortium members are not aggregated.
- Each consortium member must be a separate, distinct and independent legal entity.
- Consortium may file one short-form application. Consortium should obtain a separate FRN number.
- Note that, if the consortium is a winning bidder, the individual members of the consortium, or a new legal entity comprising two or more members of the consortium, will need to file separate long-form applications. 47 C.F.R. §§ 1.2107(g).



# Foreign Ownership

---

- Section 310 of the Communications Act governs ownership of licenses by foreign interests or entities.
- Section 310(a) prohibits grant of an FCC license to any foreign government or one of its representatives.
- Section 310(b) restricts ownership of licenses by:
  - (1) Any alien or the representative of any alien;
  - (2) Any corporation organized under the laws of any foreign government;
  - (3) Any corporation of which more than one-fifth of the capital stock is owned of record or voted by aliens or their representatives or by a foreign government or representative thereof or by any corporation organized under the laws of a foreign country; and
  - (4) Any corporation directly or indirectly controlled by any other corporation of which more than one-fourth of the capital stock is owned of record or voted by aliens, their representatives, or by a foreign government or representative thereof, or by any corporation organized under the laws of a foreign country, if the Commission finds that the public interest will be served by the refusal or revocation of such licenses.
- All applicants must certify on their short-form applications that they are in compliance with Section 310's foreign ownership provisions. See Sections 1.2105(a)(2)(v) and (vi) of the FCC's rules.
- Potential applicants are encouraged to contact FCC staff before filing their short-form applications if they have any questions about complying with these requirements.





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### Ownership

View/Edit Ownership Disclosure Information

#### Revenue Summary

Aggregate Average Gross Revenue:

Aggregate Gross Revenue:

Most recent reportable year:

One year prior to most recent reportable year:

Two years prior to most recent reportable year:

► [Revenue Information for Applicant](#)

► [Add Disclosable Interest Holder to Applicant](#)

► [Add FCC Regulated Business to Applicant](#)

► [Add Affiliate to Applicant](#)

► [Upload Applicant Ownership Disclosure Information](#)

► [View all FCC Regulated Businesses of the Applicant](#) ► [View all Affiliates of the Applicant](#)

#### Disclosable Interest Holders of this Applicant

You currently have specified 1 Disclosable Interest Holder(s)

☐ Disclosable Interest Holder: [DIH Company](#)

You currently have specified 0 FCC Regulated Businesses held by this Disclosable Interest Holder

► [Add Revenue Information to this Disclosable Interest Holder](#)

► [Add FCC Regulated Business to this Disclosable Interest Holder](#)

DELETE SELECTED

► [Top](#)

#### FCC Regulated Businesses of this Applicant

You currently have specified 1 FCC Regulated Business(es)

☐ FCC Regulated Business: [FRB Corporation](#)

DELETE SELECTED

► [Top](#)

#### Affiliates of this Applicant

You currently have specified 1 Affiliate(s)

☐ Affiliate: [Affiliate One LLC](#)

► [Add Revenue Information to this Affiliate](#)

DELETE SELECTED

► [Top](#)

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#### Steps

Applicant Information  
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#### Common Questions

[When is my data saved during the data entry process?](#)

[What is the difference between a warning and an error?](#)

[How are aggregate average gross revenues calculated?](#)

[Will the aggregate average gross revenues equal the average of the gross revenues aggregated by year?](#)



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### Ownership

Detail for Disclosable Interest Holder: DIH Company

You have submitted the following information for Disclosable Interest Holder DIH Company. Please review and edit the information as necessary. Once you are confident that the information is correct, click the View/Edit button below to view a complete summary of your Ownership Disclosure information.

#### Disclosable Interest Holder Name and Type

Edit -

Disclosable Interest Holder Name: **DIH Company**  
Disclosable Interest Holder Type: **Entity**  
Entity Type: **Corporation**  
FRN:

#### Disclosable Interest Holder Address

Address Line 1: **321 Somewhere Ave**  
Address Line 2:  
City: **Washington**  
State: **DC**  
Zip Code: **20554**

#### Type of Interest in Applicant

Direct Ownership Interest in Applicant

#### Type of Ownership Interest in Applicant

Common Stock: Voting

#### Disclosable Interest Held in Applicant

Percent of Interest Held in Applicant: **30.00 %**  
Jurisdiction of Formation: **District of Columbia**

#### Gross Revenues Attributable to Applicant

Gross Revenues for	
Most Recent Reportable Year:	Year End Date:
One Year Prior to Most Recent Reportable Year:	Year End Date:
Two Years Prior to Most Recent Reportable Year:	Year End Date:
Average Gross Revenues:	

#### Financial Statements

#### Steps

Applicant Information  
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► **Ownership**  
Summary  
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#### Common Questions

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[VIEW/EDIT OWNERSHIP INFORMATION](#)





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## Ownership

### View/Edit Ownership Disclosure Information

#### Revenue Summary

Aggregate Average Gross Revenue:

Aggregate Gross Revenue:

Most recent reportable year:

One year prior to most recent reportable year:

Two years prior to most recent reportable year:

- ▶ [Revenue Information for Applicant](#)
- ▶ [Add Disclosable Interest Holder to Applicant](#)
- ▶ [Add FCC Regulated Business to Applicant](#)
- ▶ [Add Affiliate to Applicant](#)
- ▶ [Upload Applicant Ownership Disclosure Information](#)

- ▶ [View all FCC Regulated Businesses of the Applicant](#)
- ▶ [View all Affiliates of the Applicant](#)

#### Disclosable Interest Holders of this Applicant

You currently have specified 1 Disclosable Interest Holder(s)

☐ Disclosable Interest Holder: [DIH Company](#)

You currently have specified 0 FCC Regulated Businesses held by this Disclosable Interest Holder

- ▶ [Add Revenue Information to this Disclosable Interest Holder](#)
- ▶ [Add FCC Regulated Business to this Disclosable Interest Holder](#)

DELETE SELECTED

▶ [Top](#)

#### FCC Regulated Businesses of this Applicant

You currently have specified 1 FCC Regulated Business(es)

☐ FCC Regulated Business: [FRB Corporation](#)

DELETE SELECTED

▶ [Top](#)

#### Affiliates of this Applicant

You currently have specified 1 Affiliate(s)

☐ Affiliate: [Affiliate One LLC](#)

- ▶ [Add Revenue Information to this Affiliate](#)

DELETE SELECTED

▶ [Top](#)

CONTINUE ▶

#### Steps

- Applicant Information
- License Selection
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- ▶ **Ownership**
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#### Common Questions

[When is my data saved during the data entry process?](#)

[What is the difference between a warning and an error?](#)

[How are aggregate average gross revenues calculated?](#)

[Will the aggregate average gross revenues equal the average of the gross revenues aggregated by year?](#)



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## Auction 101

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## Ownership

### Add Revenue Information to Applicant

#### Gross Revenue Disclosure

Declare the three previous years' gross revenues below.

If the Applicant was in existence and had no gross revenues for a year of the relevant period, disclose Gross Revenues as \$0 for that year. If the Applicant was not in existence for a year of the relevant period, disclose gross revenues for the Applicant's predecessor-in-interest, if any.

If Applicant is not disclosing gross revenues for the most recent three years, and/or is disclosing gross revenues for fewer than three years, please explain why in an [attachment](#).

#### Most Recent Reportable Year

☐ The Applicant and any predecessors-in-interest were not in existence for a full year of the relevant period.

Year End Date:  (Date Format: MM/DD/YYYY)

Gross Revenues: \$  (Format: 99999.99)

#### One Year Prior to Most Recent Reportable Year

☐ The Applicant and any predecessors-in-interest were not in existence for a full year of the relevant period.

Year End Date:  (Date Format: MM/DD/YYYY)

Gross Revenues: \$  (Format: 99999.99)

#### Two Years Prior to Most Recent Reportable Year

☐ The Applicant and any predecessors-in-interest were not in existence for a full year of the relevant period.

Year End Date:  (Date Format: MM/DD/YYYY)

Gross Revenues: \$  (Format: 99999.99)

#### Steps

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► **Ownership**  
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#### Common Questions

[When is my data saved during the data entry process?](#)

[What is the difference between a warning and an error?](#)

[Can I enter a negative number for gross revenues?](#)

[Why must the Year End Date for each year be exactly one year \(12 months\) prior to the Year End Date for the following year?](#)

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## Ownership

Add Revenue Information to Applicant

### Financial Statements

You **must** select one.

- ☒ Applicant used audited financial statements.
- ☐ Applicant used unaudited financial statements prepared in accordance with Generally Accepted Accounting Principles and certified by Applicant's chief financial officer or the equivalent.

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### Steps

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▶ **Ownership**

Summary

Certify & Submit

### Common Questions

[When is my data saved during the data entry process?](#)

[What is the difference between a warning and an error?](#)

[Why must I choose one of these options?](#)

[What if neither choice applies?](#)



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### Ownership

View/Edit Ownership Disclosure Information

#### Revenue Summary

Aggregate Average Gross Revenue: **\$10,166,666.67**

Aggregate Gross Revenue:

Most recent reportable year: **\$14,000,000.00**

One year prior to most recent reportable year: **\$10,000,000.00**

Two years prior to most recent reportable year: **\$6,500,000.00**

► [Revenue Information for Applicant](#)

► [Add Disclosable Interest Holder to Applicant](#)

► [Add FCC Regulated Business to Applicant](#)

► [Add Affiliate to Applicant](#)

► [Upload Applicant Ownership Disclosure Information](#)

► [View all FCC Regulated Businesses of the Applicant](#) ► [View all Affiliates of the Applicant](#)

#### Disclosable Interest Holders of this Applicant

You currently have specified 1 Disclosable Interest Holder(s)

☐ Disclosable Interest Holder: [DIH Company](#)

You currently have specified 0 FCC Regulated Businesses held by this Disclosable Interest Holder

► [Add Revenue Information to this Disclosable Interest Holder](#)

► [Add FCC Regulated Business to this Disclosable Interest Holder](#)

DELETE SELECTED

► [Top](#)

#### FCC Regulated Businesses of this Applicant

You currently have specified 1 FCC Regulated Business(es)

☐ FCC Regulated Business: [FRB Corporation](#)

DELETE SELECTED

► [Top](#)

#### Affiliates of this Applicant

You currently have specified 1 Affiliate(s)

☐ Affiliate: [Affiliate One LLC](#)

► [Add Revenue Information to this Affiliate](#)

DELETE SELECTED

► [Top](#)

CONTINUE ►

#### Steps

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#### Common Questions

[When is my data saved during the data entry process?](#)

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[How are aggregate average gross revenues calculated?](#)

[Will the aggregate average gross revenues equal the average of the gross revenues aggregated by year?](#)

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## Ownership

### Add Disclosable Interest Holder

#### Disclosable Interest Holder Name and Type

Select either Entity **OR** Individual.

☒ Entity Name:

Entity Type:  

FRN:  ([Search FRN](#))

☐ Individual Name:

FRN:  ([Search FRN](#))

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#### Steps

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Certify & Submit

#### Common Questions

[When is my data saved during the data entry process?](#)

[What is the difference between a warning and an error?](#)

[What is a Disclosable Interest Holder \(DIH\)?](#)

[Is the FRN required?](#)



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## Ownership

### Add Disclosable Interest Holder: DIH Company

#### Disclosable Interest Holder Address

[Click here if address is located outside the US.](#)

Link for Address  
outside the U.S.

Address:

City:

State:

Zip Code:

#### Steps

Applicant Information

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#### Common Questions

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## Ownership

Add Disclosable Interest Holder: DIH Company

### Type of Interest in Applicant

Check all that apply.

- ☒ Direct Ownership Interest in Applicant
- ☐ Indirect Ownership Interest in Applicant
- ☐ Officer
- ☐ Director
- ☐ Key Management Personnel
- ☐ Management Contract
- ☐ Other (specify)

### Steps

- Applicant Information
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### Common Questions

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[How do I describe disclosable interest holders who have an indirect ownership in my company?](#)

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## Ownership

### Add Disclosable Interest Holder: DIH Company

#### Type of Ownership Interest in Applicant

Check all that apply.

☒ Common Stock (specify)

☐ General Partnership Shares (specify)

☐ Limited Partnership Shares (specify)

☐ Membership Shares

☐ Option to Acquire Equity

☐ Proprietorship

☐ Preferred Stock (specify both voting and convertible types)

☐ Warrant

☐ Other (specify)

#### Steps

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#### Common Questions

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## Auction 101

### Sample SMR Auction

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**Add Disclosable Interest Holder: DIH Company**

Check all that apply.

- ## Steps

- Applicant Information
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## Common Questions

When is my data saved during the data entry process?

What is the difference between a warning and error?



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Initial Filing Closes: **04/20/2007 6:00 PM (ET)**

Status: **Not Submitted**

## Auction 101

### Sample SMR Auction

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## Ownership

### Add Disclosable Interest Holder: DIH Company

#### Type of Ownership Interest in Applicant

Check all that apply.

☒ Common Stock (specify)

Voting

☐ General Partnership Shares (specify)

☐ Limited Partnership Shares (specify)

☐ Membership Shares

☐ Option to Acquire Equity

☐ Proprietorship

☐ Preferred Stock (specify both voting and convertible types)

☐ Warrant

☐ Other (specify)

#### Steps

Applicant Information

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#### Common Questions

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### Ownership

#### Add Disclosable Interest Holder: DIH Company

##### Percent of Interest Held in Applicant

%

##### Jurisdiction of Formation

[Click here if DIH was formed in a jurisdiction outside the US.](#)

Jurisdiction of Formation:

[← BACK](#)

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Link if jurisdiction  
outside U.S.

#### Steps

- Applicant Information
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#### Common Questions

- [When is my data saved during the data entry process?](#)
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- [What is a Jurisdiction of Formation?](#)
- [What certification should I provide for foreign ownership?](#)
- [Must an indirect ownership interest percentage be included in "Percent of Interest Held in Applicant"?](#)
- [What should the "Percent of Interest Held in Applicant" reflect?](#)



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[Quit](#)

## Ownership

View/Edit Ownership Disclosure Information

### Revenue Summary

Aggregate Average Gross Revenue: **\$10,166,666.67**

Aggregate Gross Revenue:

Most recent reportable year: **\$14,000,000.00**

One year prior to most recent reportable year: **\$10,000,000.00**

Two years prior to most recent reportable year: **\$6,500,000.00**

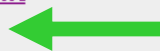
► [Revenue Information for Applicant](#)

► [Add Disclosable Interest Holder to Applicant](#)

► [Add FCC Regulated Business to Applicant](#)

► [Add Affiliate to Applicant](#)

► [Upload Applicant Ownership Disclosure Information](#)



### Disclosable Interest Holders of this Applicant

You currently have specified 1 Disclosable Interest Holder(s)

☐ Disclosable Interest Holder: [DIH Company](#)

You currently have specified 0 FCC Regulated Businesses held by this Disclosable Interest Holder

► [Add FCC Regulated Business to this Disclosable Interest Holder](#)

### Steps

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Certify & Submit

### Common Questions

[When is my data saved during the data entry process?](#)

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[How are aggregate average gross revenues calculated?](#)

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Applicant: **Bidder One Corporation**

Initial Filing Closes: 04/20/2007 6:00 PM (ET)

Status: **Not Submitted**

### Auction 101

Sample SMR Auction

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### Ownership

Add FCC Regulated Business to Applicant

#### FCC Regulated Business

Identify entities in which the Applicant has an interest. You may [select entities already submitted](#) or begin entering the information below.

FCC Regulated Business	Principal Business	FRN	Percent of Interest Held by Applicant
<input type="text" value="FRB Corporation"/>	<input type="text" value="Radio Equipment Manufacturer"/>	<input type="text" value="234567890"/> <a href="#">(Search FRN)</a>	<input type="text" value="20"/> %
<input type="text"/>	<input type="text"/>	<input type="text"/> <a href="#">(Search FRN)</a>	<input type="text"/> %
<input type="text"/>	<input type="text"/>	<input type="text"/> <a href="#">(Search FRN)</a>	<input type="text"/> %
<input type="text"/>	<input type="text"/>	<input type="text"/> <a href="#">(Search FRN)</a>	<input type="text"/> %
<input type="text"/>	<input type="text"/>	<input type="text"/> <a href="#">(Search FRN)</a>	<input type="text"/> %
<input type="text"/>	<input type="text"/>	<input type="text"/> <a href="#">(Search FRN)</a>	<input type="text"/> %
<input type="text"/>	<input type="text"/>	<input type="text"/> <a href="#">(Search FRN)</a>	<input type="text"/> %
<input type="text"/>	<input type="text"/>	<input type="text"/> <a href="#">(Search FRN)</a>	<input type="text"/> %

#### Steps

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► **Ownership**

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Certify & Submit

#### Common Questions

[When is my data saved during the data entry process?](#)

[What is the difference between a warning and an error?](#)

[What does the Search FRN link do?](#)

[What is an FCC Regulated Business \(FRB\)?](#)

[Is the FRN required?](#)

[What does "Percent of Interest Held" refer to?](#)

[Must an indirect ownership interest percentage be included in "Percent of Interest Held"?](#)

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ADD MORE BUSINESSES

CONTINUE ▶





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## Auction 101

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## Ownership

View/Edit Ownership Disclosure Information

### Revenue Summary

Aggregate Average Gross Revenue: **\$10,166,666.67**

Aggregate Gross Revenue:

Most recent reportable year: **\$14,000,000.00**

One year prior to most recent reportable year: **\$10,000,000.00**

Two years prior to most recent reportable year: **\$6,500,000.00**

▶ [Revenue Information for Applicant](#)

▶ [Add Disclosable Interest Holder to Applicant](#)

▶ [Add FCC Regulated Business to Applicant](#)

▶ [Add Affiliate to Applicant](#)

▶ [Upload Applicant Ownership Disclosure Information](#)

### Disclosable Interest Holders of this Applicant

You currently have specified 1 Disclosable Interest Holder(s)

☐ Disclosable Interest Holder: **DIH Company**

You currently have specified 0 FCC Regulated Businesses held by this Disclosable Interest Holder

▶ [Add FCC Regulated Business to this Disclosable Interest Holder](#)

### Steps

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▶ **Ownership**

Summary

Certify & Submit

### Common Questions

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[How are aggregate average gross revenues calculated?](#)

[Will the aggregate average gross revenues equal the average of the gross revenues aggregated by year?](#)





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## Ownership

### Add Affiliate

#### Affiliate

Identify entities or individuals which are affiliated in any way to the Applicant. You may [select entities or individuals already submitted](#) or begin entering the information below.

#### Affiliate Name

Select either Entity **OR** Individual.

☒ Entity Name:

Principal Business:

FRN:  ([Search FRN](#))

☐ Individual Name: 

First	MI	Last	Suffix
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

FRN:  ([Search FRN](#))

#### Steps

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#### Common Questions

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### Ownership

View/Edit Ownership Disclosure Information

#### Revenue Summary

Aggregate Average Gross Revenue: **\$12,041,666.67**

Aggregate Gross Revenue:

Most recent reportable year: **\$16,000,000.00**

One year prior to most recent reportable year: **\$11,750,000.00**

Two years prior to most recent reportable year: **\$6,500,000.00**

#### Revenue Information for Applicant

- [Add Disclosable Interest Holder to Applicant](#)
- [Add FCC Regulated Business to Applicant](#)
- [Add Affiliate to Applicant](#)
- [Upload Applicant Ownership Disclosure Information](#)

- [View all FCC Regulated Businesses of the Applicant](#)
- [View all Affiliates of the Applicant](#)

#### Disclosable Interest Holders of this Applicant

You currently have specified 1 Disclosable Interest Holder(s)

☐ Disclosable Interest Holder: [DIH Company](#)

You currently have specified 0 FCC Regulated Businesses held by this Disclosable Interest Holder

[Add FCC Regulated Business to this Disclosable Interest Holder](#)

DELETE SELECTED

[Top](#)

#### FCC Regulated Businesses of this Applicant

You currently have specified 1 FCC Regulated Business(es)

☐ FCC Regulated Business: [FRB Corporation](#)

DELETE SELECTED

[Top](#)

#### Affiliates of this Applicant

You currently have specified 1 Affiliate(s)

☐ Affiliate: [Affiliate LLC](#)

DELETE SELECTED

[Top](#)

CONTINUE ►

#### Steps

- Applicant Information
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- Summary
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#### Common Questions

[When is my data saved during the data entry process?](#)

[What is the difference between a warning and an error?](#)

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[Will the aggregate average gross revenues equal the average of the gross revenues aggregated by year?](#)



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## Ownership

### Gross Revenues Confirmation

#### Confirmation

Has Applicant provided separate gross revenue information for itself, for each of Applicant's officers and directors; for each of Applicant's other controlling interests; for each of Applicant's affiliates; and for each affiliate of each of Applicant's officers, directors, and other controlling interests?

☒ Yes ☐ No

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#### Steps

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#### Common Questions

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## Summary

### Summary Overview

Choose a step listed below to review your provided information.

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[VIEW/EDIT](#)

[License Selection](#)

[VIEW/EDIT](#)

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[VIEW/EDIT](#)

[Ownership](#)

[VIEW/EDIT](#)

[CHECK ERRORS](#)

Once you are confident that the application is ready for submission, click on the "Continue To Certify" button below.

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[CONTINUE TO CERTIFY ▶](#)

*You can check for errors before certifying and submitting*

### Steps

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▶ **[Summary](#)**

[Certify & Submit](#)

### Common Questions

[When is my data saved during the data entry process?](#)

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[What does the Check Errors button do?](#)



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## Certify & Submit

### Former Default, Delinquency Statement

By indicating which statement applies and by submitting the application, applicants make the applicable statement under penalty of perjury. An applicant making the second statement must submit an upfront payment equal to 50 percent more than otherwise would be required.

- ☐ The applicant, its affiliates, its controlling interests, and the affiliates of its controlling interests (as those parties are defined by the Commission's rules) have never been in default on any Commission license and have never been delinquent on any non-tax debt owed to any Federal agency.
- ☐ One or more of the applicant, its affiliates, its controlling interests, or the affiliates of its controlling interests (as those parties are defined by the Commission's rules) have been in default on a Commission license or have been delinquent on a non-tax debt owed to a Federal agency.

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▶ **Certify & Submit**

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[What if there is no former default or delinquency, but there is a current default or delinquency?](#)

[What is an Affiliate?](#)



# Defaults – Delinquencies

## Red Lights

---

- Applicants must certify that, as of the short-form filing deadline, they are not in default on any Commission license and not delinquent on any non-tax debt owed to any Federal agency in order to be eligible to participate in the auction. Applicants must also state whether or not they have ever been in default on any Commission license or any non-tax debt owed to any Federal agency. For these purposes, “applicant” includes the applicant’s controlling interests and affiliates (of both the applicant and controlling interests).
- Under the Commission’s “red light” rule, it will not process applications and other requests for benefits filed by parties with outstanding debts owed to the Commission. Applicants are well advised to resolve any concerns regarding outstanding “red lights” for any relevant party prior to filing the Form 175. An applicant will be able to submit Form 175 to the Commission, notwithstanding a “red light” but the application may not be “accepted” at a later stage.
- Finally, applicants should remember that the “red light” database concerns only current delinquencies with the Commission. Auction rules are concerned with both current and former delinquencies on non-tax debt owed to any Federal agency. See 47 C.F.R. section 1.2105(a)(2)(x) and (xi). Applicants should not rely on a “green light” as a guarantee that they have no concerns regarding delinquencies, current or former, on non-tax debt owed to any Federal agency.



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Applicant: **Bidder One Corporation**

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## Certify & Submit

### Former Default, Delinquency Statement

By indicating which statement applies and by submitting the application, applicants make the applicable statement under penalty of perjury. An applicant making the second statement must submit an upfront payment equal to 50 percent more than otherwise would be required.

- ☒ The applicant, its affiliates, its controlling interests, and the affiliates of its controlling interests (as those parties are defined by the Commission's rules) have never been in default on any Commission license and have never been delinquent on any non-tax debt owed to any Federal agency.
- ☐ One or more of the applicant, its affiliates, its controlling interests, or the affiliates of its controlling interests (as those parties are defined by the Commission's rules) have been in default on a Commission license or have been delinquent on a non-tax debt owed to a Federal agency.

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[Why must one of the options be selected?](#)

[What if I don't know which of the two options is correct?](#)

[What if there is no former default or delinquency, but there is a current default or delinquency?](#)

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Applicant: **Bidder One Corporation**  
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Status: **Not Submitted**

## Auction 101

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## Certify & Submit

### Certify Auction Application

By clicking the "Submit" button below, I certify the following:

(1) that the applicant is legally, technically, financially, and otherwise qualified pursuant to 308(b) of the Communications Act and the Commission's Rules and is in compliance with the foreign ownership provisions contained in Section 310 of the Communications Act.

(2) that the applicant is the real party in interest in this application and that there are no agreements or understandings other than those specified in this application, which provide that someone other than the applicant shall have an interest in the license.

(3) that the applicant is aware that, if upon Commission inspection, this application is shown to be defective, the application may be dismissed without further consideration, and certain fees forfeited. Other penalties may also apply.

(4) except as explicitly provided in the Commission's Rules, that the applicant has not entered into and will not enter into any explicit or implicit agreements or understandings of any kind with parties not identified in this application regarding the amount to be bid, bidding strategies or the particular license on which the applicant or other parties will or will not bid.

(5) that the applicant, or any party to this application, is not subject to a denial of federal benefits pursuant to Section 5301 of the Anti-Drug Abuse Act of 1988.

(6) that if a bidding credit eligibility is claimed, the applicant is eligible for any special provisions set forth in the Commission's Rules applicable to this auction and consents to audits, as set forth in the Commission's Rules, to verify such status.

(7) that the applicant is and will, during the pendency of its application(s), remain in compliance with any service specific qualifications applicable to the licenses on which the applicant intends to bid including, but not limited to, financial qualifications.

(8) that the applicant is not in default on any payment for Commission licenses and that it is not delinquent on any non-tax debt owed to any federal agency.

I declare, under penalties of perjury, that I am an authorized representative of the above named applicant for the license and/or licenses specified above, that I have read the instructions and the foregoing certification and all matters and things stated in this application, its schedules and attachments, including exhibits, are true and correct.

### Signature

Person Certifying: First  MI  Last  Suffix

Signature:

Title:

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▶ **Certify & Submit**

#### Common Questions

[What if I certify and any of the certifications are false?](#)

[When is my data saved during the data entry process?](#)

[What is the difference between a warning and an error?](#)

[Is it necessary to read all of these certifications?](#)

[Who is authorized to certify?](#)

[After I fill out my application electronically, is it automatically submitted to the FCC?](#)

[Can I change information after I submit Form 175?](#)

[After I submit my Form 175 once, are any later changes automatically submitted to the FCC?](#)

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SUBMIT







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## Certify & Submit

### Auction Application Submit Confirmation

Your Auction Application has been received on 4/13/2006 at 12:33 PM. Your file number is 0001685182. Please [print](#) your application for your records.

AUCTION APPLICATION MANAGER

▶ [Upfront Payment Calculator/Form 159](#)

▶ [Wire Transfer for Refund Purposes](#)

### Steps

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▶ **Certify & Submit**

### Common Questions

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[What is the difference between a warning and an error?](#)

[What is Form 159 and how do I fill it out?](#)

[How can I get a copy of the information I submitted?](#)

[Must I go to the Upfront Calculator and Form 159 immediately?](#)

[Do I have to submit an upfront payment to cover all the licenses I selected on my Form 175?](#)

[How will I know the status of my Form 175?](#)

[When can I see the Form 175 of other applicants for the auction?](#)



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Applicant: **Bidder One Corporation**

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Status: **Submitted**

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## Attachments

### Add Attachment

Type:

File:

Description:

\* An Attachment may not be greater than 10MB.

[RETURN TO APPLICATION](#)

[ADD ATTACHMENT](#)

### Current Attachments

Type	Description	Upload Date
------	-------------	-------------

### Steps

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Certify & Submit

### Common Questions

[When is my data saved during the data entry process?](#)

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Logged In: FRN 0010845105 ([Log Out](#))

### Auction 101

Applicant: **Bidder One Corporation**

Initial Filing Closes: 04/20/2007 6:00 PM (ET)

Status: **Submitted**

 Your changes have been saved but NOT SUBMITTED. In order for your changes to take effect, you **must Certify & Submit** your application.

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### Attachments

✓ The attachment was uploaded successfully.

#### Add Attachment

Type:

File:

Description:

\* An Attachment may not be greater than 10MB.

[RETURN TO APPLICATION](#)

[ADD ATTACHMENT](#)

#### Current Attachments

Type	Description	Upload Date	
Other	Revenue Justification (Not Yet Converted)	4/13/2006, 12:36 PM	Remove - 

#### Steps

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#### Common Questions

[When is my data saved during the data entry process?](#)

[What is the difference between a warning and an error?](#)

[What attachments are required?](#)

[What are the "Types" of Attachments?](#)



Logged In: FRN 0010845105 ([Log Out](#))

Applicant: **Bidder One Corporation**

Initial Filing Closes: 04/20/2007 6:00 PM (ET)

Status: **Submitted**

## Auction 101

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## Print Preview

### Print Application

Print the data you have added to the Auction Application by selecting from the list below. Click "Continue" to open a printable page.

- ☒ Applicant Information
- ☐ License Selection
- ☐ Agreements
- ☐ Ownership
- ☐ Certify & Submit
- ☐ Attachment List

**SELECT ALL**

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**CONTINUE ▶**



### Print Attachments

Click on a link below to open a PDF to print.

Other - [Revenue Justification](#)

### Steps

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Summary  
Certify & Submit

File Number:	0001685182
Status:	Submitted
Date Received:	4/13/2006, 12:38 PM

## Applicant Information

### Applicant Name

Entity Name:	Bidder One Corporation
Jurisdiction of Formation:	DC

### Applicant Legal Classification and Status

Legal Classification:	Corporation
Applicant Status:	

### Applicant Address

Address Line 1:	123 Auction Street
Address Line 2:	
City:	Washington
State:	DC
Zip Code:	20554

### Responsible Party

Name:	Imma Bidder
Title:	President
Telephone Number :	(202) 418-9999 Ext. 0660
Email Address:	Imma.Bidder@fcc.gov

### Contact Information

Name:	Imma Bidder
Telephone Number:	(202) 418-9999 Ext. 0660
Fax Number:	(202) 418-8888
Email Address:	Imma.Bidder@fcc.gov
Address Line 1:	123 Auction Street
Address Line 2:	
City:	Washington
State:	DC
Zip Code:	20554

### Authorized Bidders

Bidder 1:	Imma Bidder
Bidder 2:	Shesa Bidder
Bidder 3:	Hesa Bidder
Bidding Option:	Electronic

### Bidding Credit Eligibility

Seeking/Not Seeking:	Seeking
Gross Revenues:	Between \$ 0.00 and \$ 15.00

---



Logged In: FRN 0010845105 ([Log Out](#))

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## Auction Application Manager

Create or Review Auction Applications

Approved by OMB  
3060-0600 ([Notice to Applicants](#))

### Create New Application

- ▶ [Auction 73](#) 700 MHz Band -- Initial Filing Window closes 12/3/2007, 6:00 PM
- ▶ [Auction 76](#) 700 MHz Band -- Initial Filing Window closes 12/3/2007, 6:00 PM

### Review or Modify Existing Applications

Auction	Applicant Name	Application Status	Last Modified	Last Submitted	
<a href="#">65</a> Initial Filing Window Closed 4/3/2006, 6:00 PM	Bidder One Corporation	Submitted	3/8/2006, 3:40 PM	3/7/2006, 4:00 PM	
<a href="#">101</a> Initial Filing Window Closes 4/20/2007, 6:00 PM	Bidder One Corporation	Submitted	4/13/2006, 12:36 PM	4/13/2006, 12:38 PM	
<a href="#">185</a> Initial Filing Window Closed 4/10/2006, 4:31 PM	Bidder One Corporation	Not Submitted	4/3/2006, 11:46 AM		
- Upfront Payment Calculator and Form 159    - Refund Form    - Edit Application    - Withdraw Application    - Search					

### Documentation

Auction:

## Auction Application Manager

### Upfront Payment Calculator

### Calculating Your Upfront Payment

Applicants are solely responsible for determining the amount of their upfront payment. In most FCC auctions, the amount of the upfront payment determines initial bidding eligibility. In calculating an upfront payment amount, an applicant should determine the maximum number of bidding units on which it may wish to be active (bid on or hold provisionally winning bids on) in any given round, and submit an upfront payment amount covering that number of bidding units. The upfront payment calculator may assist applicants in determining an amount. However, regardless of whether they use the upfront payment calculator, applicants should check their calculations carefully, as there is no provision for increasing a bidder's eligibility after the upfront payment deadline.

The following table lists all available licenses based on selections in your submitted FCC Form 175. The upfront payment calculator will help you to determine the appropriate upfront payment amount to submit with your Form 159. Use of the upfront payment calculator is always optional; you can type another amount in the Total Upfront Payment field or Total Bidding Units field, if desired. Please refer to the [Auction No. 101 Procedures](#) public notice for a complete explanation of upfront payments and bidding eligibility.

Eligibility is not license specific. You do not have to make an upfront payment to cover all licenses for which you have applied on FCC Form 175, but rather to cover the maximum number of bidding units that are associated with licenses on which you wish to place bids and hold provisionally winning bids in any single round. In order to calculate a total upfront payment, an applicant should add together the bidding units for all licenses on which it seeks to be active in any given round, and submit an upfront payment amount covering that number of bidding units. Please refer to the [Auction No. 101 Procedures](#) public notice for further explanation.

To use the Upfront Payment Calculator, do the following:

1. Select all licenses on which you seek to be active in any given round. The calculator will automatically calculate the total bidding units (maximum eligibility) and upfront payment amount for those licenses.
2. To save the total upfront payment amount and carry it through to Form 159, click the "Carry to 159" button.

Please note that if you selected 1,000 or more licenses, the calculator will not display the list or keep a running total of bidding units. If you have selected 1,000 or more licenses, simply type in the total number of bidding units for which you want to establish your maximum eligibility. The calculator will then automatically calculate the corresponding upfront payment amount.

### About the Former Defaulter's Calculation

The upfront payment amount required for former defaulters may be higher than that for applicants who have never been in default. The upfront payment calculator will adjust the upfront payment amount accordingly. Refer to the Procedures PN for more information.

### Available Licenses

Select ( <a href="#">All</a>   <a href="#">Clear</a> )	License Number	Location	Bidding Units	Upfront Payments
<input type="checkbox"/>	SV-BEA001-C	Area 1	500	\$500
<input type="checkbox"/>	SV-BEA001-D	Area 1	1,000	\$1,000
<input type="checkbox"/>	SV-BEA002-C	Area 2	500	\$500
<input type="checkbox"/>	SV-BEA002-D	Area 2	1,000	\$1,000
<input type="checkbox"/>	SV-CMA001-E	Statewide 1	100	\$100
<input type="checkbox"/>	SV-CMA001-F	Statewide 1	50	\$50
<input type="checkbox"/>	SV-CMA002-E	Statewide 2	300	\$300
<input type="checkbox"/>	SV-CMA002-F	Statewide 2	600	\$600
<input type="checkbox"/>	SV-REAG001-A	Region 1	1,000	\$1,000
<input type="checkbox"/>	SV-REAG001-B	Region 1	2,000	\$2,000
<input type="checkbox"/>	SV-REAG002-A	Region 2	1,000	\$1,000
<input type="checkbox"/>	SV-REAG002-B	Region 2	2,000	\$2,000
			Total Bidding Units	Total Upfront Payment
			<input type="text"/>	\$ <input type="text"/>
<div><div>CARRY TO 159</div><div>CLOSE WINDOW</div></div>				

## Auction Application Manager

### Upfront Payment Calculator

### Calculating Your Upfront Payment

Applicants are solely responsible for determining the amount of their upfront payment. In most FCC auctions, the amount of the upfront payment determines initial bidding eligibility. In calculating an upfront payment amount, an applicant should determine the maximum number of bidding units on which it may wish to be active (bid on or hold provisionally winning bids on) in any given round, and submit an upfront payment amount covering that number of bidding units. The upfront payment calculator may assist applicants in determining an amount. However, regardless of whether they use the upfront payment calculator, applicants should check their calculations carefully, as there is no provision for increasing a bidder's eligibility after the upfront payment deadline.

The following table lists all available licenses based on selections in your submitted FCC Form 175. The upfront payment calculator will help you to determine the appropriate upfront payment amount to submit with your Form 159. Use of the upfront payment calculator is always optional; you can type another amount in the Total Upfront Payment field or Total Bidding Units field, if desired. Please refer to the [Auction No. 101 Procedures](#) public notice for a complete explanation of upfront payments and bidding eligibility.

Eligibility is not license specific. You do not have to make an upfront payment to cover all licenses for which you have applied on FCC Form 175, but rather to cover the maximum number of bidding units that are associated with licenses on which you wish to place bids and hold provisionally winning bids in any single round. In order to calculate a total upfront payment, an applicant should add together the bidding units for all licenses on which it seeks to be active in any given round, and submit an upfront payment amount covering that number of bidding units. Please refer to the [Auction No. 101 Procedures](#) public notice for further explanation.

To use the Upfront Payment Calculator, do the following:

1. Select all licenses on which you seek to be active in any given round. The calculator will automatically calculate the total bidding units (maximum eligibility) and upfront payment amount for those licenses.
2. To save the total upfront payment amount and carry it through to Form 159, click the "Carry to 159" button.

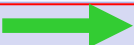
Please note that if you selected 1,000 or more licenses, the calculator will not display the list or keep a running total of bidding units. If you have selected 1,000 or more licenses, simply type in the total number of bidding units for which you want to establish your maximum eligibility. The calculator will then automatically calculate the corresponding upfront payment amount.

### About the Former Defaulter's Calculation

The upfront payment amount required of former defaulters may be higher than that for applicants who have never been in default. The upfront payment calculator will adjust the upfront payment amount accordingly. Refer to the Procedures PN for more information.

### Available Licenses

Select ( <a href="#">All</a>   <a href="#">Clear</a> )	License Number	Location	Bidding Units	Upfront Payments
<input type="checkbox"/>	SV-BEA001-C	Area 1	500	\$500
<input type="checkbox"/>	SV-BEA001-D	Area 1	1,000	\$1,000
<input type="checkbox"/>	SV-BEA002-C	Area 2	500	\$500
<input type="checkbox"/>	SV-BEA002-D	Area 2	1,000	\$1,000
<input type="checkbox"/>	SV-CMA001-E	Statewide 1	100	\$100
<input type="checkbox"/>	SV-CMA001-F	Statewide 1	50	\$50
<input type="checkbox"/>	SV-CMA002-E	Statewide 2	300	\$300
<input type="checkbox"/>	SV-CMA002-F	Statewide 2	600	\$600
<input checked="" type="checkbox"/>	SV-REAG001-A	Region 1	1,000	\$1,000
<input checked="" type="checkbox"/>	SV-REAG001-B	Region 1	2,000	\$2,000
<input type="checkbox"/>	SV-REAG002-A	Region 2	1,000	\$1,000
<input type="checkbox"/>	SV-REAG002-B	Region 2	2,000	\$2,000
			<b>Total Bidding Units</b>	<b>Total Upfront Payment</b>
			<input type="text" value="3,000"/>	\$ <input type="text" value="3,000"/>





For many filings the Applicant is the Payer. However, if the Payer is a third party, the Payer's FRN will be different from that of the Applicant. Enter the Payer FRN and Password below. If the Payer does not have a valid FRN, you must register online in the [FRN Registration System](#) and return to Form 159 to complete payment process.

**Please enter your FCC Registration Number (FRN) and Password to continue.**

Login	
<b>Payer's FRN:</b>	<input type="text"/>
<b>Password:</b>	<input type="password"/>
<input type="button" value="CONTINUE"/>	

**Note:** Payer information will be pre-filled from the FRN Registration System database.

To use this Form 159 you must have the Adobe Reader® installed (Version 4.0 or higher). You may [download](#) and install the reader now, or learn [more](#) from Adobe.



If you have forgotten your FRN or password, call the FCC help line at (877) 480-3201; TTY (202) 414-1255 for assistance.

**Customer Service**

[FCC Fees](#)

[FCC Web Policies](#)

[FCC Home Page](#)

If you have any questions or concerns please contact your licensing system help desk.



# Interaction Between Form 175 and Post-Auction Applications

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Post-auction applications (Forms 601 and 602) will be automatically pre-filled with certain information from the Form 175.

Form 601, pre-fills include:

- Gross Revenues
- List of Agreements
  - Note: Applicants for bidding credits may have to report agreements that are not included on the Form 175, pursuant to 1.2112(b)(2)(iii) and (iv).

Form 602, Ownership Report:

- If Applicants do not have a Form 602 on file or if the Form 602 on file is not complete and accurate, they will be able to pre-fill a Form 602 with the information provided in the FCC Form 175.

Applicants will be able to update the pre-filled information in their Forms 601 and 602, and will be responsible for checking to ensure that all information, including information carried over from the Form 175 submission, is complete and accurate prior to submitting these forms.

Instructions regarding the submission of the Form 601, including the required attachments, and Form 602 will be provided in the Winning Bidder Public Notice.